

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79028

David SHAFER, et al.

Appln. No.: 10/734,623

Group Art Unit: 2873

Confirmation No.: 2006

Examiner: Not Yet Assigned

Filed: December 15, 2003

For: CATADIOPTRIC PROJECTION OBJECTIVE WITH GEOMETRIC BEAM SPLITTING

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

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In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanations: concise statements

of relevance for the foreign language documents being submitted are found in the Background

discussion of the above-identified application.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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Date: July 22, 2004

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Substitute for Form 1449 A & B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Complete if Known				
Application Number	10/734,623			
Confirmation Number	2006			
Filing Date	December 15, 2003			
First Named Inventor	David SHAFER			
Art Unit	2873			
Examiner Name	Not Yet Assigned			
Attorney Docket				
Number	Q79028			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number   Kind Code²   Number   (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 4,779,966	A	10/1988	FRIEDMAN	
		US 4.953,960	A	9/1990	WILLIAMSON	
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		US 2003/0021040	A1	1/2003	EPPLE ET AL	
		RE 36740	Е	6/2000	ICHIHARA ET AL.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	
		EP	0 604 093	B1	6/29/1994	NIKON CORPORATION	
		EP	1 115 019	A2	7/11/2001	CARL ZEISS	
		wo	03/050587	A3	6/19/2003	CARL ZEISS SEMICONDUCTOR MANUFACTURING TECHNOLOGIES	
		EP	1 191 378	A1	3/27/2002	NIKON CORPORATION	
		WO	03/036361	Al	5/1/2003	NIKON CORPORATION	Abstract
		JP -	2002-372668		12/26/2002	NIKON CORPORATION	
		<b>†</b>					<u> </u>

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>			
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Examiner Signature	Date Considered